



## FULBRIGHT & JAWORSKI L.L.P.

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June 18, 2004

CERTIFICATE OF MAILING 37 C.F.R 1.8

I certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date below:

June 18, 2004

Date

Michael C. Barrett

MS AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

RE:

U.S. Patent Application No. 10/688,200 entitled "CUSTOMIZABLE SPECTRAL

PROFILES FOR FILTERING" - Carl W. Dirk

Our reference: UTSE:099US Client reference: UTEP:02-010

Sir:

Enclosed for filing in the above-referenced patent application is a Supplemental Information Disclosure Statement, Form PTO-1449, and references B4-B8 and C1-C2.

No fees are believed to be due in connection with the filing of this Supplemental Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to the enclosed materials, the Commissioner is authorized to deduct the appropriate fees from Fulbright & Jaworski Deposit Account No.: 50-1212/UTSE:099US.

Please date stamp and return the enclosed postcard evidencing receipt of these materials.

Respectfully submitted,

Michael C. Barrett

Reg. No. 44,523

Mille RA

MCB/kmv Encl.: as noted

**PATENT** 

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

e Application of: Carl W. Dirk

Serial No.: 10/688,200

Filed: October 17, 2003

For: CUSTOMIZABLE SPECTRAL PROFILES

FOR FILTERING

Group Art Unit: 2875

Examiner: Unknown

Atty. Dkt. No.: UTSE:099US

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### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

#### MS AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Supplemental Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

In accordance with 37 C.F.R §§ 1.97(g), (h), this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be

construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

The present Supplemental Information Disclosure Statement is being filed prior to the receipt of a first Official Action reflecting an examination on the merits, and hence is believed to be timely filed in accordance with 37 C.F.R § 1.97(b). No fees are believed to be due in connection with the filing of this Supplemental Information Disclosure Statement, however, should any fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these materials, the Commissioner is authorized to deduct the appropriate fees from Fulbright & Jaworski Deposit Account No.: 50-1212/UTSE:099US.

Applicant respectfully requests that the listed documents be made of record in the present case.

Respectfully submitted,

Mille. No

Michael C. Barrett Reg. No. 44,523 Attorney for Applicant

FULBRIGHT & JAWORSKI L.L.P. 600 Congress Avenue, Suite 2400 Austin, Texas 78701 (512) 474-5201

Date: June 18, 2004

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FORT PTO-1449 (modified)		Atty. Docket No. Serial No. UTSE:099US 10/688,200		
List of Patents and Publications for	Applicant's	Applicant		
INFORMATION DISCLOSURE S	TATELADAT	Carl W. Dirk		
TADE MAN (Use several sheets if necessa	IAIEMENI	Filing Date:	Group:	
(Use several sheets if necessa	ry)	October 17, 2003	2875	
U.S. Patent Documents	Foreign Patent Documents See Page 1		Other Art	
See Page 1			See Page 1	

## **U.S. Patent Documents**

Exam. Init.	Ref. Des.	Document Number	Date	Name	Class	Sub Class	Filing Date of App.

# **Foreign Patent Documents**

Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	B4	EP 1 122 588	8/08/01	Europe			English
	B5	WO 01/46617	6/28/01	PCT			English
	В6	WO 94/14089	6/23/94	PCT			English
	В7	WO 96/10211	4/04/96	PCT			English
	В8	WO 2004/036161	4/29/04	PCT			English

# Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation			
	C1	Piegari, "Ultraviolet, visible and infrared performance of coated glass for museums," Optical and Infrared Thin Films, 4094:74-82, 2000.			
	C2	Weintraub, "The color of white: is there a 'preferred' color temperature for the exhibition of works of art?" WAAC Newsletter, 21(3):1-6, 2000.			

25421784.1

**DATE CONSIDERED: EXAMINER:** 

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.